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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/005,900

11/02/2001

Maurice Haman Abraham

B-4360 619260-1

CONFIRMATION NO. 7206

FORMALITIES LETTER

OC000000007240698

ADAS & PARRY **Suite 2100** 5670 Wilshire Boulevard Los Angeles, CA 90036-5679

Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$870.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Serial No.:

Maurice Haman Abraham, et al

10/005,900

November 2, 2001

For: "APPARATUS AND METHOD FOR

WORKFLOW"

Filed:

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Attn: Customer Service Center

Initial Patent Examination Division

Examiner:

Group Art Unit:

Our Ref.: B-4360 619260-1

Date of this paper:

February 25, 2002

RECEIVED

APR 1 7 2002

Technology Center 2100

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 12/28/2001 (copy attached).

DECLARATION OR OATH

Please find enclosed the Declaration/Power signed by the inventors attached to a copy of the specification, claims, abstract, and drawings as filed.

AMENDMENT OF CLAIMS

| [] | Please cancel claims | inclusive |
|------|--|--|
| [] | Please find enclosed an amendment deletin | g multiple dependencie |
| NOT: | The filing fees, fees for and surchareduced by 50% where proof of a established on or before the date full fee is paid but a verified state months of the date of payment of excess fee paid will be refunded January 20, 1983. 1027 TMOG 114. | small entity status the fee is paid. If tement is filed within the first fee then |
| [X] | Filing fee (37 CFR 1.16(a) - 740.00) (small entity - 370.00) | \$740.00 |
| [] | Fees for claims [] Independent claims in excess of 3 | |

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

> Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Attn: Customer Service Center

Initial Patent Examination Division

Date: February 25, 2002

Karyn Lao (Typed or printed name of person mailing paper)

(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)

| | [] Multiple dependent claim(s) (37 CFR 1.16(d) - 260.00) (small entity - 130.00) | |
|--|--|--|
| [X] | Surcharge fees | |
| | [X] Late payment of filing fee (37 CFR 1.16(e) - 130.00) (small entity - 65.00) | |
| | AND | |
| | [X] Late filing of declaration or oath (37 CFR 1.16(e) - 130.00) \$130.00 (small entity - 65.00) | |
| [] | Petition fee for filing by person not the inventor (37 CFR 1.17(h) \$120.00) | |
| [] |] Recording assignment | |
| [] | (37 CFR 1.21(h)(i) 40.00) Attached is an assignment to | |
| | Total fees: \$870.00 | |
| SMALL ENTITY STATUS | | |
| [] | A verified statement that this filing is by a small entity is | |
| [] Attached [] Already filed on | | |
| | PAYMENT OF FEES | |
| [X] Enclosed is a check in the amount of \$870.00. | | |
| [,] | [,] Charge Account No. <u>12-0415</u> in the amount of A duplicate of this request is attached. | |
| NOTE: | NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b). | |
| AUTHORIZATION TO CHARGE ADDITIONAL FEES | | |
| [X] | X] The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415. | |
| | [X] 37 CFR 1.16 (filing fees and presentation of extra claims) | |
| | [X] 37 CFR 1.17 (application processing fees) | |
| | [] 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b). | |
| NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application prior to paying issue fee." Richard P. Berg Attorney for the Applicant Registration No.: 28,145 | | |
| | c/o LADAS & PARRY 5670 Wilshire Boulevard, Suite 2100 Los Angeles, California 90036-5679 | |
| | Telephone No.: (323) 934-2300 | |

Completion of Filing Requirements (Page 2 of 2)